## HER2 Status in the Era of ADC and Artificial Intelligence

Anne Vincent-Salomon, MD, PhD Pathologist, Institute of Women's Cancer and Institut Curie, Paris, FRANCE

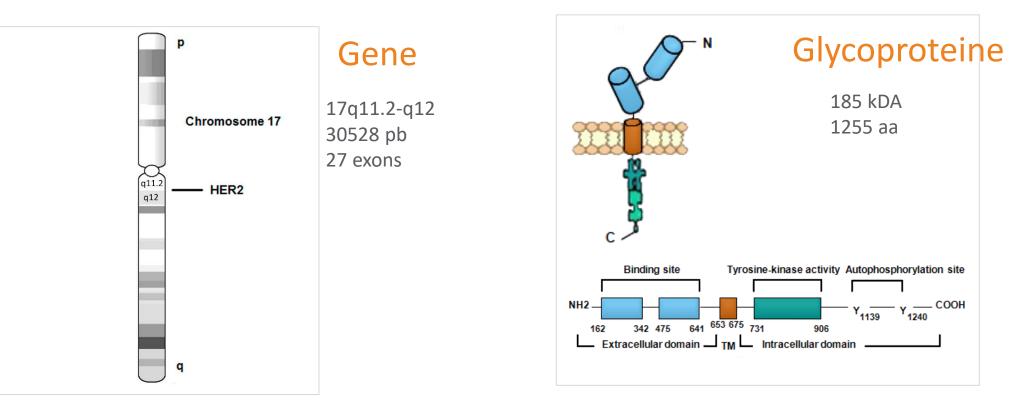


The International Academy of Pathology Hong Kong Division

# Outlines of my talk

- 1. Introduction :
  - *a. HER2* oncogene
  - b. HER2 status assessment according to guidelines (ASCO / CAP 2018, 2023)
  - c. HER2 positive tumors: are not a single entity
- 2. Definition and epidemiology of HER2 low and ultra-low breast cancers
- 3. Al assessment of HER2 status in breast cancers
- 4. Take home messages

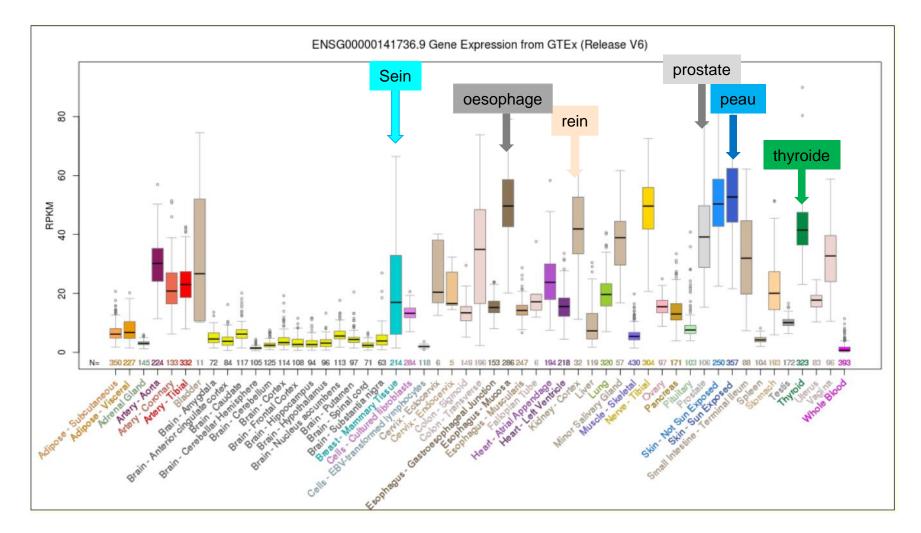
#### HER2



#### Oncogene

- expressed in the normal mammary gland
- necessary for the development of mammary gland ducts and lobules
- necessary for acini differentiation during lactation

#### HER2 mRNA Expression levels in normal tissues

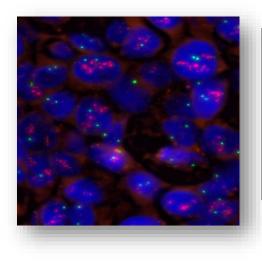


Genome Browser UCSC : RNA-Seq Expression Data from GTEx (53 Tissues, 570 Donors)

http://www.genome.ucsc.edu/cgibin/hgGene?hgsid=945615817\_pP6BUmODfXGi1JASIAxzNbqn1mLL&hgg\_section\_microarray\_close=0#microarray

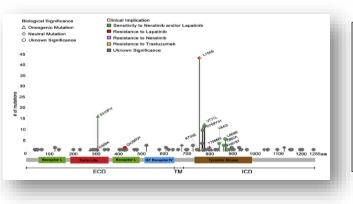
#### **MECANISMS OF HER2 ACTIVATION**

#### **1- Amplification**



- <u>></u> 6 copies of HER2/nucleus
- Focal region of amplification in 17q12 (< 10Mb)
- Driver event → oncogenic addiction of the cells → cell proliferation, migration, invasion and survival
- 10 to 15% of breast cancers

#### **2-** Mutations



- Observed in HER2 negative and HER2 low tumors
- In the tyrosine kinase domain (and extracellular domain)
- 2 % of IC-NST
- 20% of Invasive lobular carcinomas grade 3

Slamon et al. Science 1987, Slamon et al. Science 1989, Wolff et al. J Clin Oncol 2018, Ferrari et al Nat Com 2016; Marchio et al Sem Can Bio 2020; Bose et al Cancer Discov 2013.; Deniziaut et al Oncotarget 2017; Kalleoniemi et al, Am J Pathol 2004; Staaf et al Br Can Res 2010; Condorelli et al Annals of Onc 2019 Ferrari et al Nat Com 2016; Marchio et al Sem Can Bio 2020; Bose et al Cancer Discov 2013; Deniziaut et al Oncotarget 2017

## Amplification $\rightarrow$ overexpression

IMMUNOHISTOCHEMISTRY

IN SITU HYBRIDIZATION

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JOURNAL OF CLINICAL ONCOLOGY

ASCO SPECIAL ARTICLE

Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/ College of American Pathologists Clinical Practice Guideline Focused Update

Antonio C. Wolff, M. Elizabeth Hale Hammond, Kimberly H. Allison, Brittany E. Harvey, Pamela B. Mangu, John M.S. Bartlett, Michael Bilous, Ian O. Ellis, Patrick Fitzgibbons, Wedad Hanna, Robert B. Jenkins, Michael F. Press, Patricia A. Spears, Gail H. Vance, Giuseppe Viale, Lisa M. McShane, and Mitchell Dowsett

# **PRINCIPLES OF HER2 STATUS DETERMINATION**

1- HER2 is determined by **immunohistochemistry (IHC)** for all invasive breast cancers and by In situ hybridization (ISH) for 2+ scores

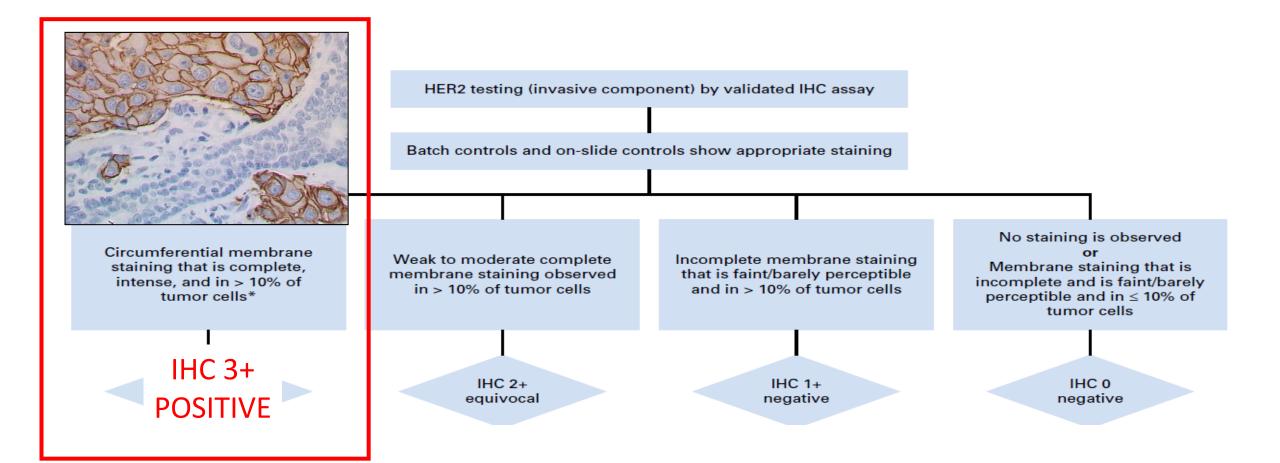
#### 2- Why IHC?

- Level of protein expression correlated to the gene copy number
- HER2 protein is the target of the anti-HER2 therapies

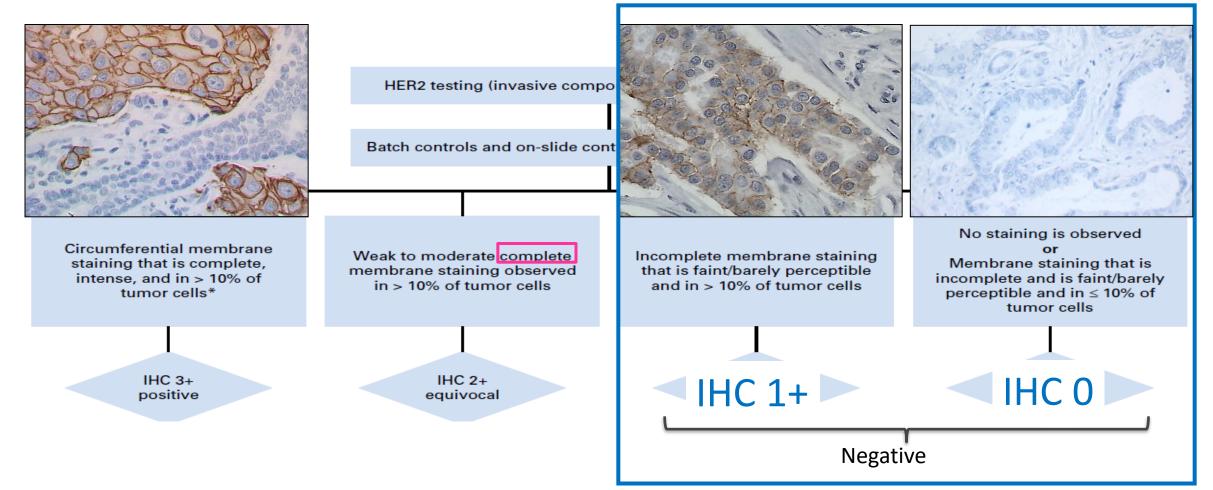
#### 3- How ?

- By calibration of IHC on *HER2* gene status to detect overexpression in relation to gene amplification
- With:
  - Negative internal controls (normal glands)
  - External controls with known HER2 gene copy number in each batch and positive control on each slide
  - External quality control (AFAQAP; NordiQC, UKNEQAS...).

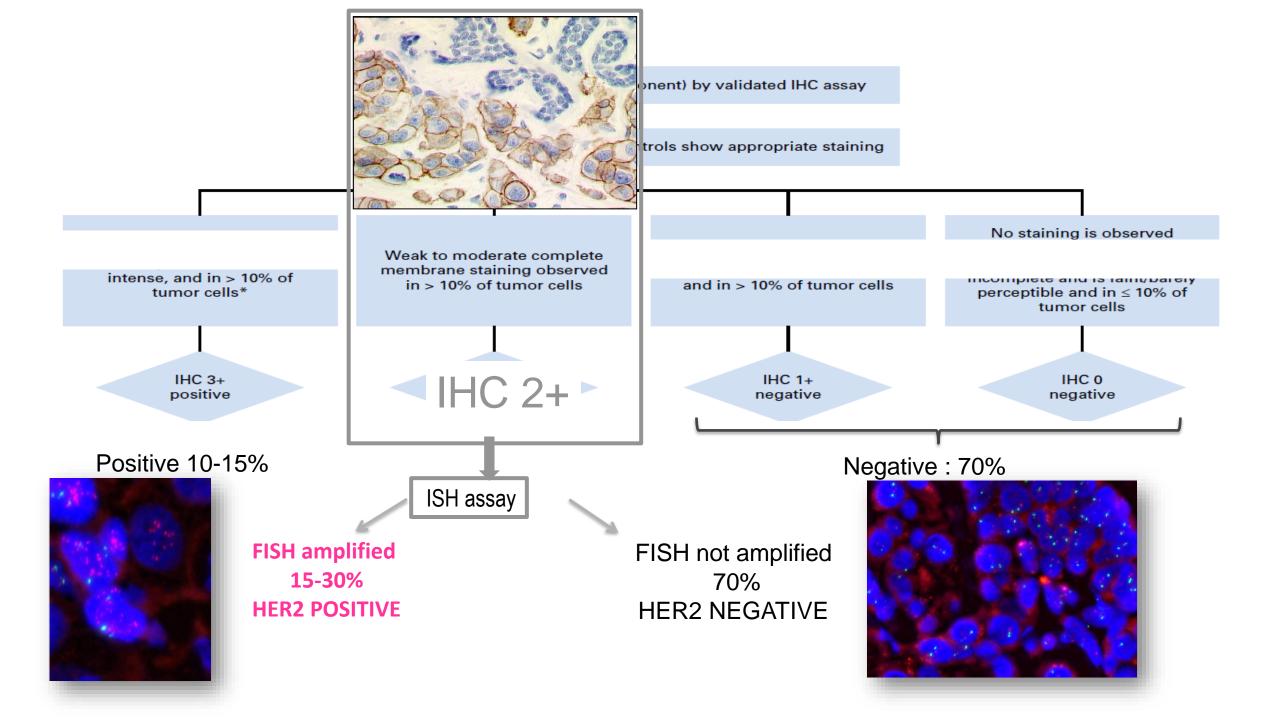
#### HER2 STATUS DETERMINATION FOR THERAPEUTIC DECISIONS



# HER2 STATUS DETERMINATION FOR THERAPEUTIC DECISIONS



The 2023 ASCO/CAP update of HER2 testing guidelines provides best practice recommendations for the distinction of HER2 0 from 1+ including evaluation of HER2 IHC at high-power magnification and seeking consensus review when needed.

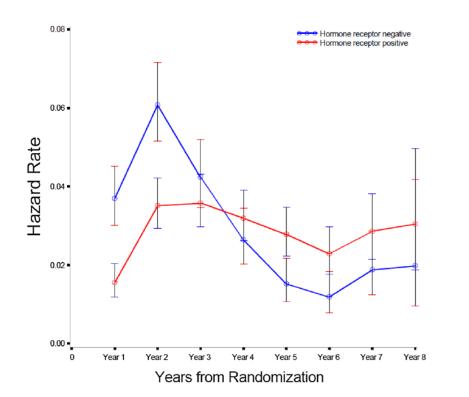


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#### HER2 POSITIVE ER+ TUMORS ARE DIFFERENT FROM HER2 POSITIVE ER- TUMORS

- ✤ 50% of all HER2 positive cases are ER+
- \* Have a different natural history and different metastatic spreading than HER2+ER-



- In the ALTTO trial HER2 positive and ER+ are:
  - Younger & Premenopausal
  - N -
  - Lower level of *HER2* amplification
  - Better survival outcomes in the first 5 years
  - Same prognosis at 8 years than ER- HER2+
    - Different Pattern of events:
      - more bone metastasis (31.7% vs 18.7%; p < 0.001)</li>
      - . more liver metastasis (21% vs 16.3%)

#### Mean annual hazards of reccurence

Lambertini et al Br Can Res TTT 2019

#### HER2 POSITIVE ER+ TUMORS RESPONSE TO NEOADJUVANT CHEMOTHERAPY

ER status and *PIK3CA* mutation status influence HER2 + breast cancer pCR rates to neoadjuvant anti HER2

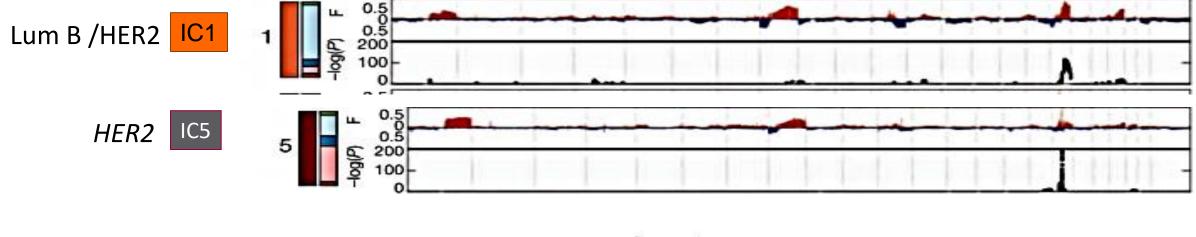
therapies

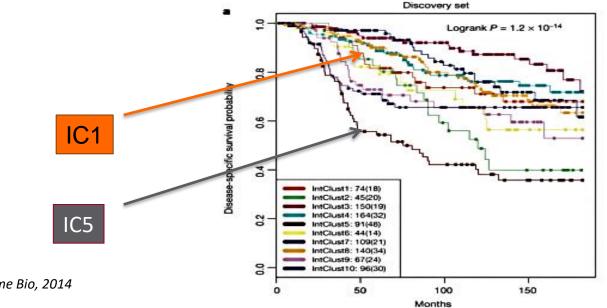
	pCR rates
HER2+ ER+	30.9%
HER2+ ER+ PIK3CA mutation	16.2%
HER2+ ER-	60 – 75%

But screening for *PIK3CA* mutations in HER2 positive breast cancer is not yet of clinical relevance.

# ER+ HER2 positive tumors have different molecular profiles and prognosis compared to ER- HER2 enriched cancers

METABRIC consortium: Integrated transcriptomic and genomic classification:

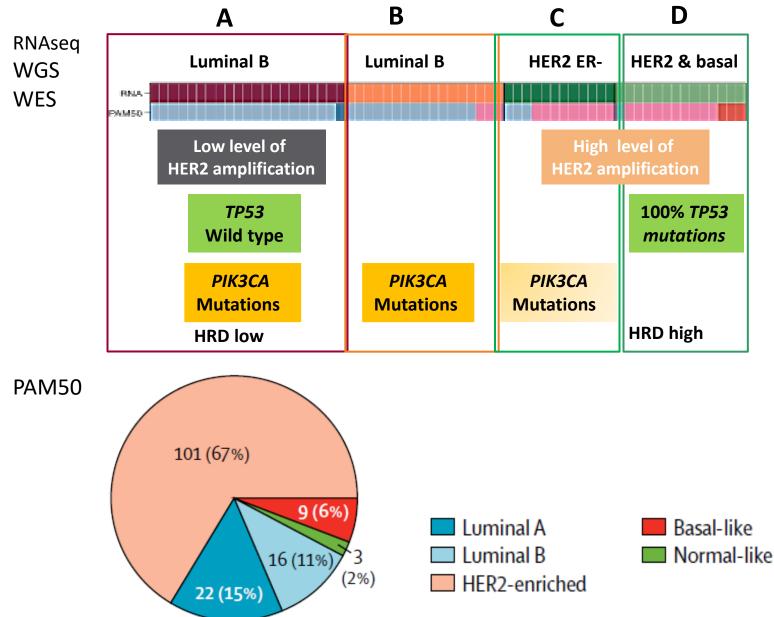




Curtis et al. Nature 2012; Ali et al Genome Bio, 2014

#### INTER-TUMOR MOLECULAR HETEROGENEITY OF HER2 TUMORS

 84 HER2+ breast carcinomas analyzed by WGS and RNA seq (ICGC-France)

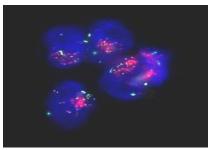


- Pamela trial
- 151 patients HER2 +

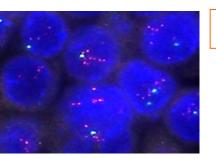
#### LEVELS OF EXPRESSION AND AMPLIFICATION:

#### predictive markers of response to anti-Her2 therapies

• Retrospectively:



> 10 copies 56% of pCR



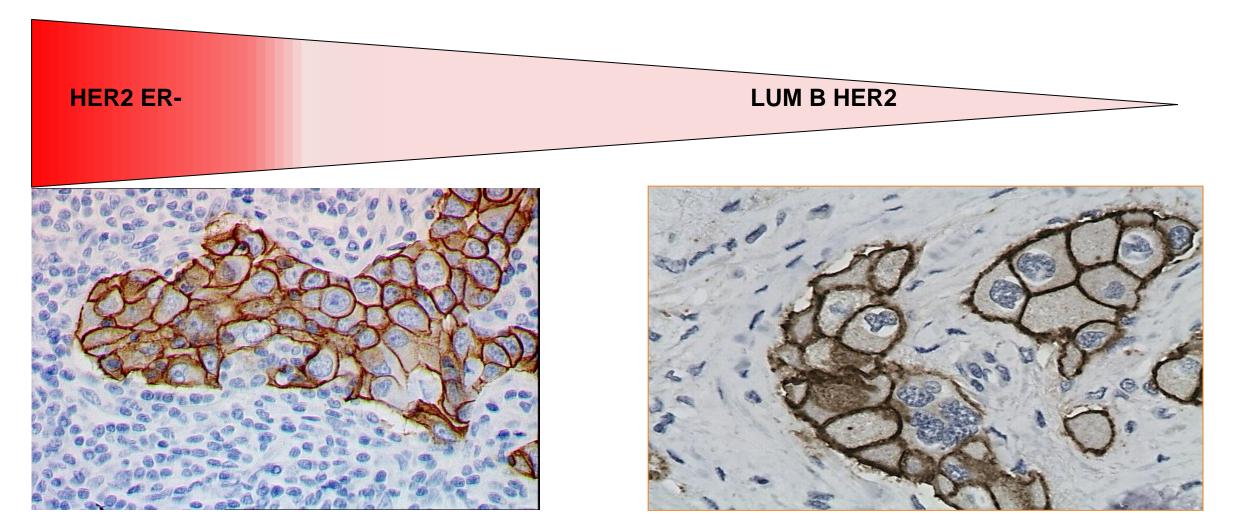
Low level of amplification: 6-10 copies

22% of pCR

#### • Prospectively

Neoadjuvant setting				
TAXHERO1 and GETNA01 (REF. 31)	93	DH or DCbH	FISH	Degree of HER2 amplification by FISH is correlated with pCR (high versus low amplification: 56% versus 22%; P<0.005)
TRYPHAENA <sup>28</sup>	106	FECHP→DHP versus FEC→DHP TCbHP	IHC, mRNA	High HER2 mRNA and IHC score associated with a higher pCR rate (73.6% versus 51%, <i>P</i> =0.0006 and 72.3% versus 36.1%, <i>P</i> =0.00002)
GeparQuattro <sup>29</sup>	217	ECT→DH ECT→ DXH	mRNA	High HER2 mRNA level associated with higher pCR rate, but only in ESR1+ tumours
BrUOG BR-211B <sup>24</sup>	27	Nab-T CbH	AQUA (HER2 prot)	Higher levels of HER2 protein predict pCR; phosphorylated HER2 not predictive of pCR
NeoALTTO <sup>30</sup>	324	L→LT H→HT LH→LHT	Protein expression (HERmark)	High HER2 protein expression associated with higher pCR rate and greater benefit from dual anti-HER2 therapy (OR 2.02; 1.42–2.87)

#### TILS DENSITY: HIGHER IN ER- HER2 ENRICHED CARCINOMAS



Lal et al, Am J Clin Pathol 2005; Koneckny et al, JNCI 2003; Taucher et al, Cancer 2003 Huang et al, Annals of Oncology, 2005; Bartlett et al, JCO 2007; Vaz-Luis et al Annals of Oncol 2013; Toullec et al, EMBO Mol Medicine 2010; Staaf et al JCO 2010

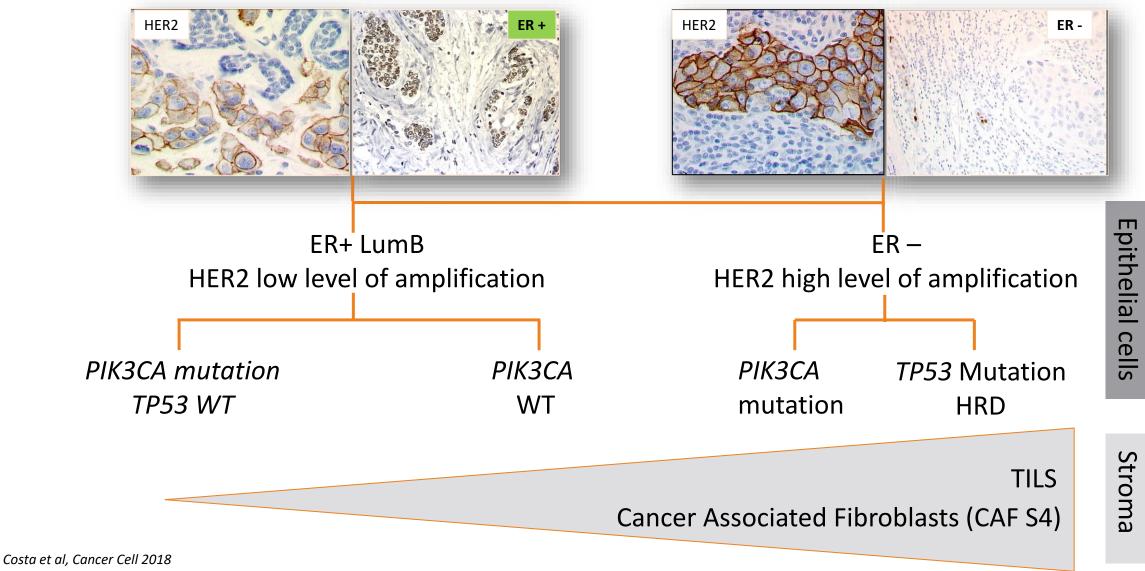
#### HER2 POSITIVE BREAST CANCER WITH HIGH TILS: BETTER RESPONSE TO THERAPY

	Table 4 Exploration of immune-related biomarkers in randomized trials in HER2-positive breast cancer					
	Trial	n	Biomarker	Conclusions		
	TILs					
	GeparSixto (Neoadjuvant)97	580	TILs	<ul> <li>Higher TILs associated with increased pCR rate</li> <li>Interaction with benefit from carboplatin</li> </ul>		
	NeoSphere (Neoadjuvant)98	243	TILs	TILs not significantly associated with pCR in the breast		
TILs	NeoALTTO (Neoadjuvant) <sup>99</sup>	387	TILs	<ul> <li>TILs &gt;5% associated with increased pCR</li> <li>Prognostic: every 1% increase in TILs associated with a 3% decrease in the rate of an event (HR 0.97, 95% CI 0.95–0.99, P = 0.002)</li> </ul>		
	FinHER (Adjuvant)95	934	- ILs	<ul> <li>Prognostic: higher TILs associated with better distant disease-free survival</li> <li>Predictive: patients with LPBC benefit the most from trastuzumab</li> </ul>		
	N9831 (Adjuvant) <sup>100</sup>	945	TILs	<ul> <li>Prognostic: higher TILs associated with better RFS, but only in the chemotherapy_alone group</li> <li>Predictive: (<i>P</i> interaction 0.03) Patients with LPBC do not benefit from trastuzumab (HR 2.43, 95% CI 0.58–10.22)</li> </ul>		
	CLEOPATRA (metastatic) <sup>101</sup>	678	TILs	Prognostic: strongly associated with overall survival		
	Gene-expression signatures					
	Pooled analysis neoadjuvant studies <sup>102</sup>	996	Immune GES	Immune-gene enrichment associated with increased pCR probability		
	GeparSixto <sup>97</sup>	580	Immune GES	Higher expression of immune markers associated with increased pCR rate		
Immune transcriptomic	NeoSphere <sup>98</sup>		Expression of IFNG, PD1, PDL1, PDL2, CTLA4 or immune metagenes related to plasma cells, T cells, antigen-presenting cells 1 and 2, STAT1, IF-I	In the dual anti-HER2 therapy arm, low expression of PD1, STAT1, PDL1, CTLA4, MHC1 and IF-1 associated with higher pCR rate in the breast. In the other arms, high expression of PD1, MHC2, STAT1, but low expression of PDL1, MHC1 and IF-I associated with higher pCR rate in the breast		
signatures	N9831 (REF. 103)	1,282	Immune GES	<ul> <li>Prognostic: immune-gene enrichment associated with better RFS</li> <li>Predictive: patient without immune-gene enrichment did not benefit from trastuzumab</li> </ul>		
	NSABP-B31 (REF. 104)	731	TILs-associated GES	Predictive: high expression of TILs-associated genes correlated with more benefit from trastuzumab (HR 0.06 versus 0.57, P <sub>interaction</sub> = 0.03)		
	FinHER (REF. 105)	202	pSTAT3 GES	Predictive: high expression associated with lack of benefit from trastuzumab in ER-negative patients		
	FinHER (REF. 106)	232	ANXA1 metagene	Predictive: high expression associated with lack of benefit from trastuzumab		
	Abbreviations: EP, pestrogen recentor: CES, gene-expression signatures: HP, bezerd ratio: LPBC, lymphocyte-predominant breast cancer: MHC1					

Abbreviations: ER, oestrogen receptor; GES, gene-expression signatures; HR, hazard ratio; LPBC, lymphocyte-predominant breast cancer; MHC1, major histocompatibility complex class 1; pCR, pathological complete response; RFS, relapse-free survival; TILs, tumour-infiltrating lymphocytes.

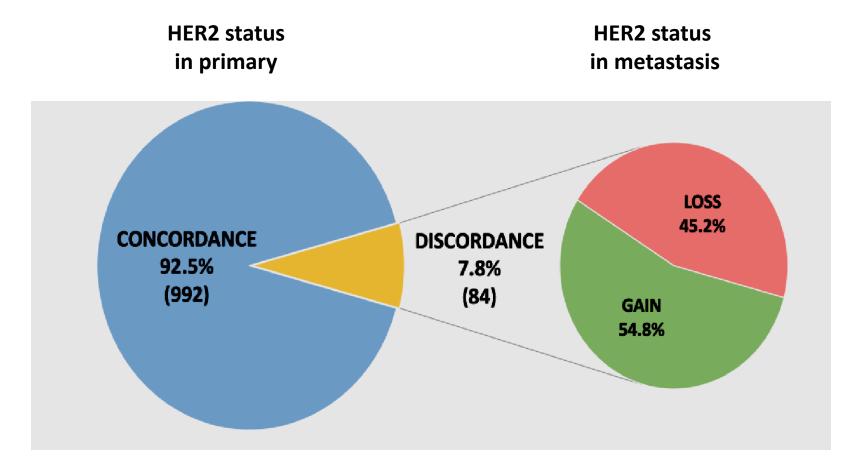
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#### HER2 TUMORS: DIFFERENT MOLECULAR ENTITIES



Pelon et al Nat Com 2020

#### HER2 POSITIVE STATUS CHANGE FROM PRIMARY TUMOR TO METASTASIS



#### **ESMO BREAST CANCER**

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#### Antibodies drug-conjugated for HER2-low breast cancer

Trials In metastatic phase with visceral and cerebral meta	Patients	Overal survival TDxD	Median survival TDM1	Median overall survival Treatment of clinician's choice
Destiny 03	HER2 positive (11% HER2 low)	28,8 months IC (22,4-37,9) (PFS)	6,8 months IC (5,6-8,2) (PFS)	
Destiny 04	HER2 low, majority of HR+	23,4 months (overall survival)		16.8 months (Overall survival)

## DESTINY-Breast06: PFS (BICR) in ITT by tumor sample characteristics and IHC score

Subgroup	Number of events / patients (%)		Median, months (95% CI)			Hazard ratio (95% CI)
	T-DXd	TPC	T-DXd	TPC		
HER2-low (primary endpoint)	225/359 (62.7)	232/354 (65.5)	13.2 (11.4, 15.2)	8.1 (7.0, 9.0)	•	0.62 (0.51, 0.74)
ITT (ie HER2-low and HER2-ultralow) (secondary endpoint)	269/436 (61.7)	271/430 (63.0)	13.2 (12.0, 15.2)	8.1 (7.0, 9.0)	_ <b>_</b>	0.63 (0.53, 0.75)
Tumor location*						
Primary	55/93 (59.1)	63/99 (63.6)	14.9 (9.8, 19.4)	7.9 (5.8, 9.7)	<b>→</b>	0.55 (0.38, 0.80)
Metastatic	214/343 (62.4)	208/331 (62.8)	13.2 (12.0, 15.2)	8.1 (7.0, 9.5)		0.66 (0.55, 0.80)
Specimen collection type						
Biopsy	232/375 (61.9)	249/389 (64.0)	13.1 (11.3, 15.2)	8.1 (6.9, 9.3)	-	0.63 (0.53, 0.76)
Excision/resection	37/61 (60.7)	22/41 (53.7)	16.4 (9.7, 19.5)	8.3 (6.9, 18.1)	• • • •	0.62 (0.36, 1.08)
HER2 IHC expression						
IHC 0 with membrane staining	44/76 (57.9)	39/76 (51.3)	13.2 (9.8, 17.3)	8.3 (5.8, 15.2)	<b>⊢</b>	0.78 (0.50, 1.21)
IHC 1+	157/239 (65.7)	150/234 (64.1)	13.1 (11.0, 15.2)	8.2 (7.1, 9.8)	<b>_</b>	0.73 (0.59, 0.92)
IHC 2+/ISH-	65/117 (55.6)	80/118 (67.8)	15.2 (12.2, 21.4)	7.0 (6.2, 8.4)	- <b>•</b> 1	0.43 (0.31, 0.60)
					0.25 0.51.0	2.0
					Favors T-DXd Favors TF	2

\*Primary tumor samples were breast or regional lymph node samples obtained from patients who had confirmed metastatic disease (ie in the metastatic setting) BICR, blinded independent central review; CI, confidence interval; HER2, human epidermal growth factor receptor 2; IHC, immun ohistochemistry; ISH-, in situ hybridization-negative; ITT, intent-to-treat; PFS, progression-free survival; T-DXd, trastuzumab deruxtecan; TPC, chemotherapy treatment of physician's choice

#### Adapted from Giuseppe Viale, ESMO 2024

#### Now, HER2 status interpretation

The 2023 ASCO/CAP update of HER2 testing guidelines provides best practice recommendations for the distinction of HER2 0 from 1+ including evaluation of HER2 IHC at high-power magnification + seeking consensus review when needed.

#### $\rightarrow$ It takes time ++++ to read

- Intensity and the exact % of labelled cells
- Complete or incomplete membranous staining
- (Positive cells scattered or clustered)

#### 2023 ESMO Consensus for HER2 status assessment





#### SPECIAL ARTICLE

ESMO expert consensus statements (ECS) on the definition, diagnosis, and management of HER2-low breast cancer

P. Tarantino<sup>1,2,3</sup>, G. Viale<sup>4</sup>, M. F. Press<sup>5</sup>, X. Hu<sup>6</sup>, F. Penault-Llorca<sup>7</sup>, A. Bardia<sup>2,8</sup>, A. Batistatou<sup>9</sup>, H. J. Burstein<sup>1,2</sup>, L. A. Carey<sup>10</sup>, J. Cortes<sup>11,12</sup>, C. Denkert<sup>13</sup>, V. Diéras<sup>14</sup>, W. Jacot<sup>15</sup>, A. K. Koutras<sup>16</sup>, A. Lebeau<sup>17</sup>, S. Loibl<sup>18,19</sup>, S. Modi<sup>20</sup>, M. F. Mosele<sup>21</sup>, E. Provenzano<sup>22</sup>, G. Pruneri<sup>3,23</sup>, J. S. Reis-Filho<sup>24</sup>, F. Rojo<sup>25</sup>, R. Salgado<sup>26,27</sup>, P. Schmid<sup>28</sup>, S. J. Schnitt<sup>2,29</sup>, S. M. Tolaney<sup>1,2</sup>, D. Trapani<sup>3,30</sup>, A. Vincent-Salomon<sup>31</sup>, A. C. Wolff<sup>32</sup>, G. Pentheroudakis<sup>33</sup>, F. André<sup>34</sup> & G. Curigliano<sup>3,30</sup>\*

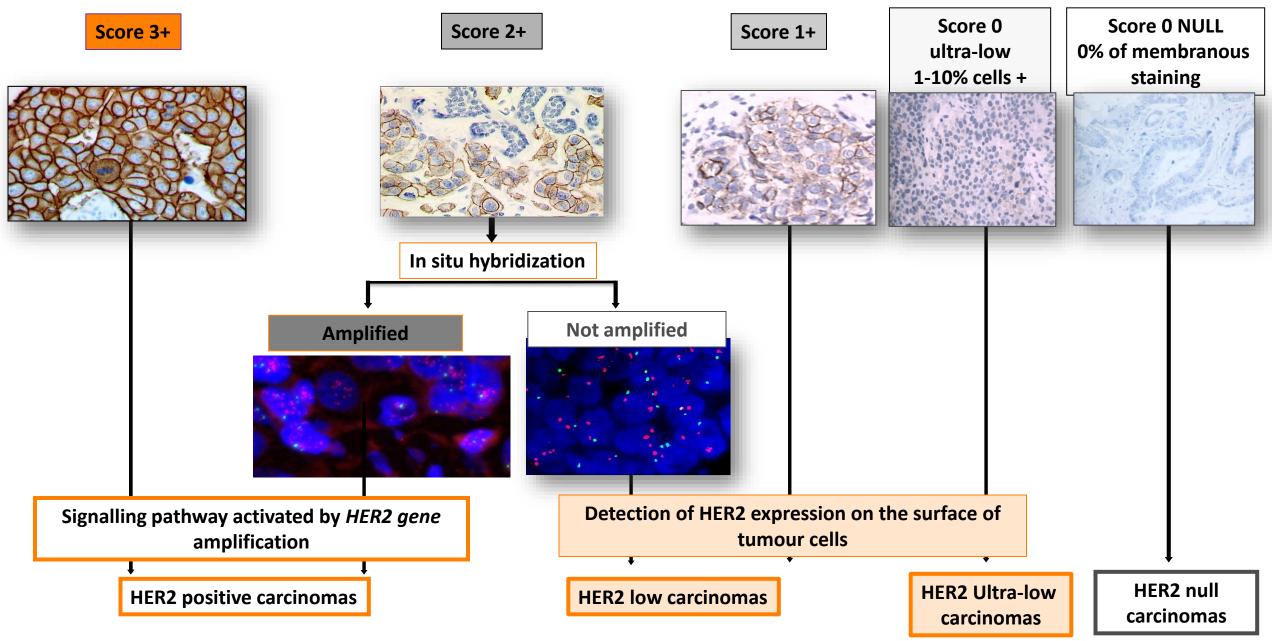
Table 1. Interpretation by the ASCO/CAP 2018 Guidelines and by the 2023 ESMO Consensus on HER2-low breast cancer regarding each pattern of HER2 staining						
Description of staining	Denomination by 2018 ASCO/CAP Guidelines	Conclusion by 2018 ASCO/CAP Guidelines	Conclusion by 2023 ESMO clinical practice recommendations			
- No staining - Incomplete or faint staining in $\leq$ 10% of invasive tumor cells	HER2-0 HER2-0	HER2-negative HER2-negative	HER2-0 HER2-null <sup>a</sup> HER2-ultralow (or >no staining <1+) <sup>a</sup>			
<ul> <li>Incomplete or faint staining in &gt;10% of invasive tumor cells</li> </ul>	HER2 1+	HER2-negative	HER2-low			
- Weak to moderate complete membrane staining in $>10\%$ of invasive tumor cells (ISH-negative)	HER2 2+ nonamplified	HER2-negative	HER2-low			
- Weak to moderate complete membrane staining in $>10\%$ of invasive tumor cells (ISH-positive)	HER2 2+ amplified	HER2-positive	HER2-positive			
- Intense complete membrane staining in $>\!\!10\%$ of invasive tumor cells	HER2 3+	HER2-positive	HER2-positive			

ASCO, American Society of Clinical Oncology; CAP, College of American Pathologists; HER2, human epidermal growth factor receptor 2; ISH, in situ hybridization.

Bold are the actual definitions. In italics are potential future sub-definitions within the HER2-0 category.

<sup>a</sup>The decision to establish the HER2-null and HER2-ultralow (or >no staining <1+) categories will be dependent on the results of the DB-06 trial.

#### HER2: predictive marker for anti-HER2 therapies Trastuzumab, and ADC



# Identifying ULTRA-LOW scores: DESTINY06 experience

- Of samples scored as HER2-low locally, 94% met DESTINY-Breast06 inclusion criteria (were either HER2-low or HER2-ultralow by central testing)
- Overall percent agreement was 77.8% for HER2-low\*
- Of samples scored as IHC 0 locally, central testing found
  - ✤ 35% were IHC 0 (<u>absent</u> membrane staining; 0% of stained cells)
  - 40% were HER2-ultralow
  - 24% were HER2-low

\*Agreement was assessed between central and local laboratories determining if samples were 'HER2low' or 'not HER2-low' and overall percent agreement was calculated as the total number of samples that agreed divided by the total number of tests.

Pr G Viale- ESMO 2024

## Frequency of low and ultra-low HER2 tumors

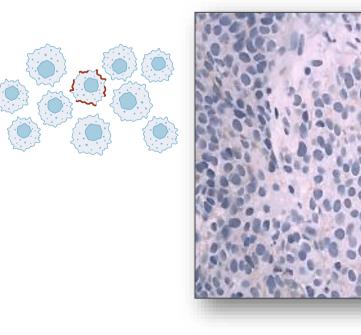
HER2 Low Score 2+ non-amplified & Score 1+

60-65% of all breast carcinomas

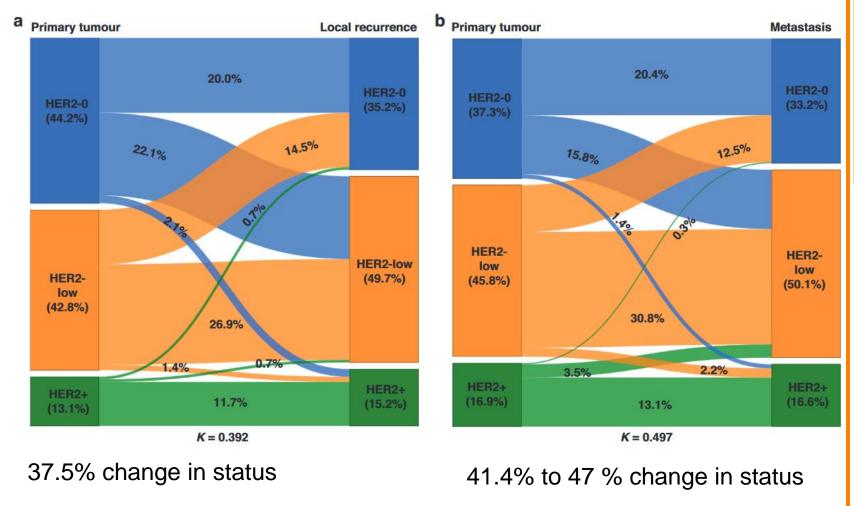
- Most HER2 low are HER2 score 1+
- HER2 low are: 64% HR+ 36% HR -

HER2 ULTRA- low Score 0 with 1-10% + cells

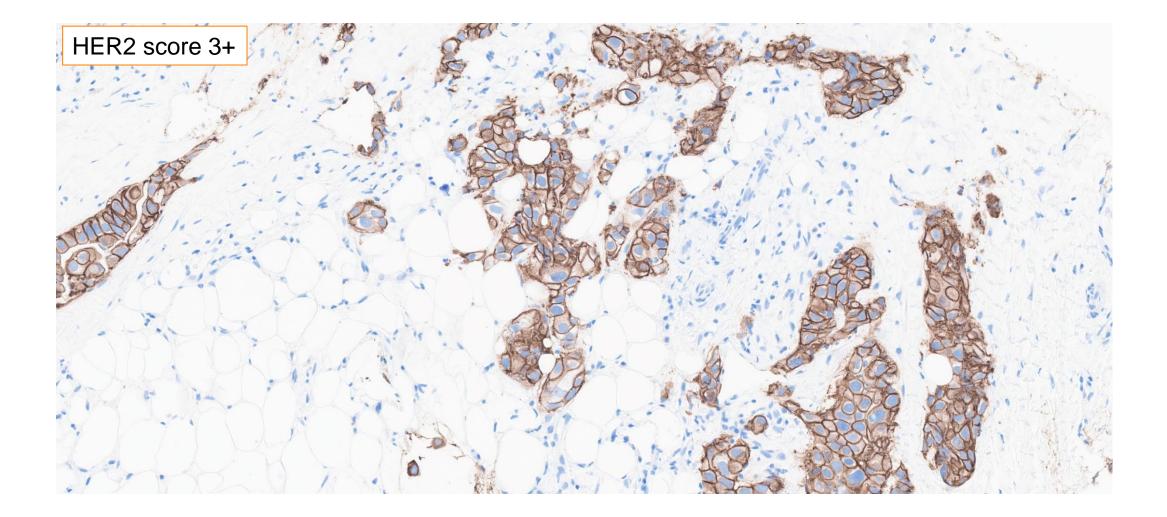
20-25% of all breast carcinomas

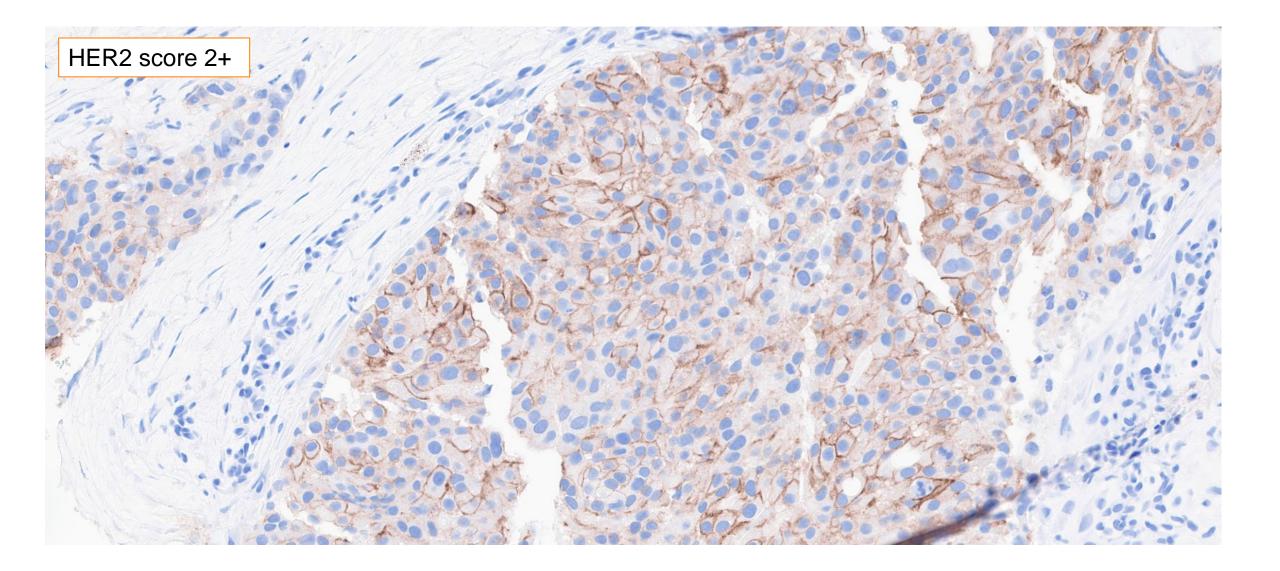


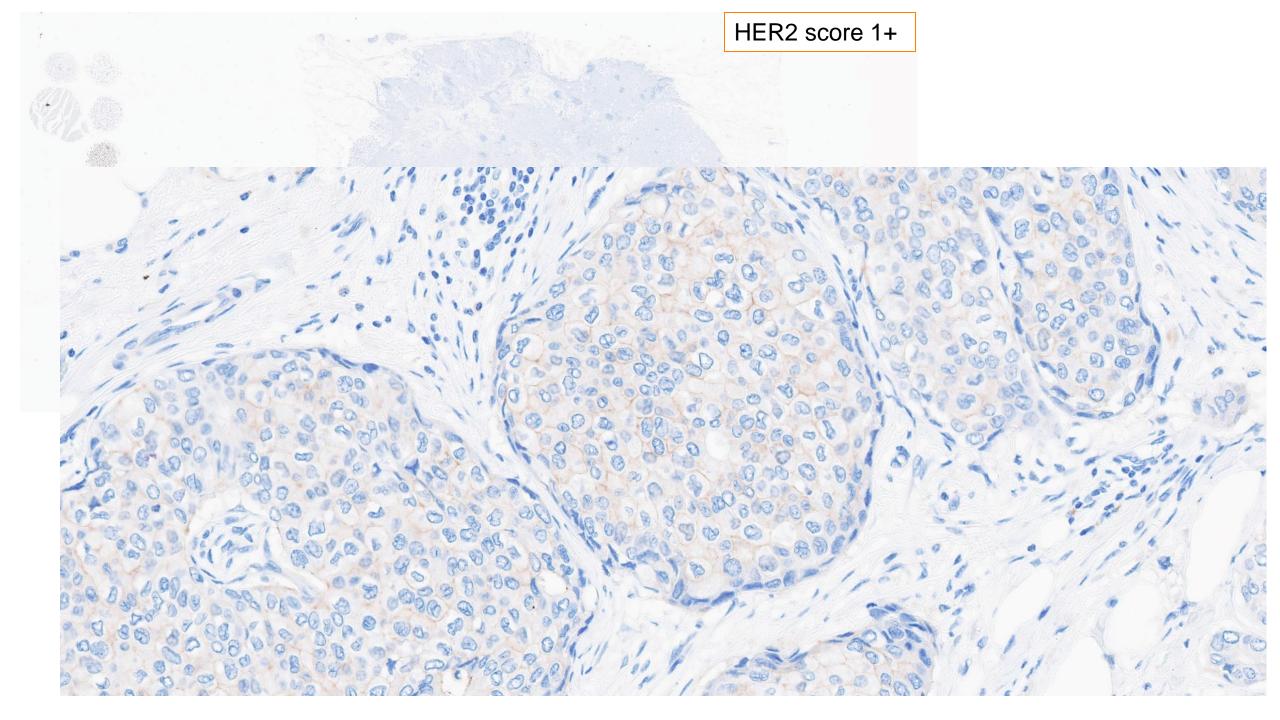
# HER2 status varies between primary tumour and relapse: local relapse & metastatic disease and between different metastatic sites of the same patient



"Among different metastatic sites from the same breast primary, HER2 status was discordant between distant metastatic sites in 53% of patients"







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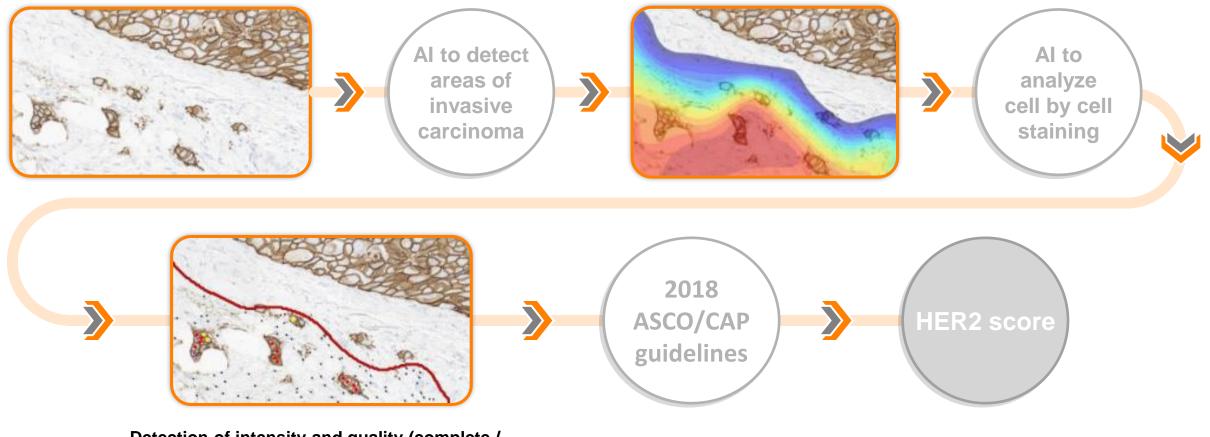
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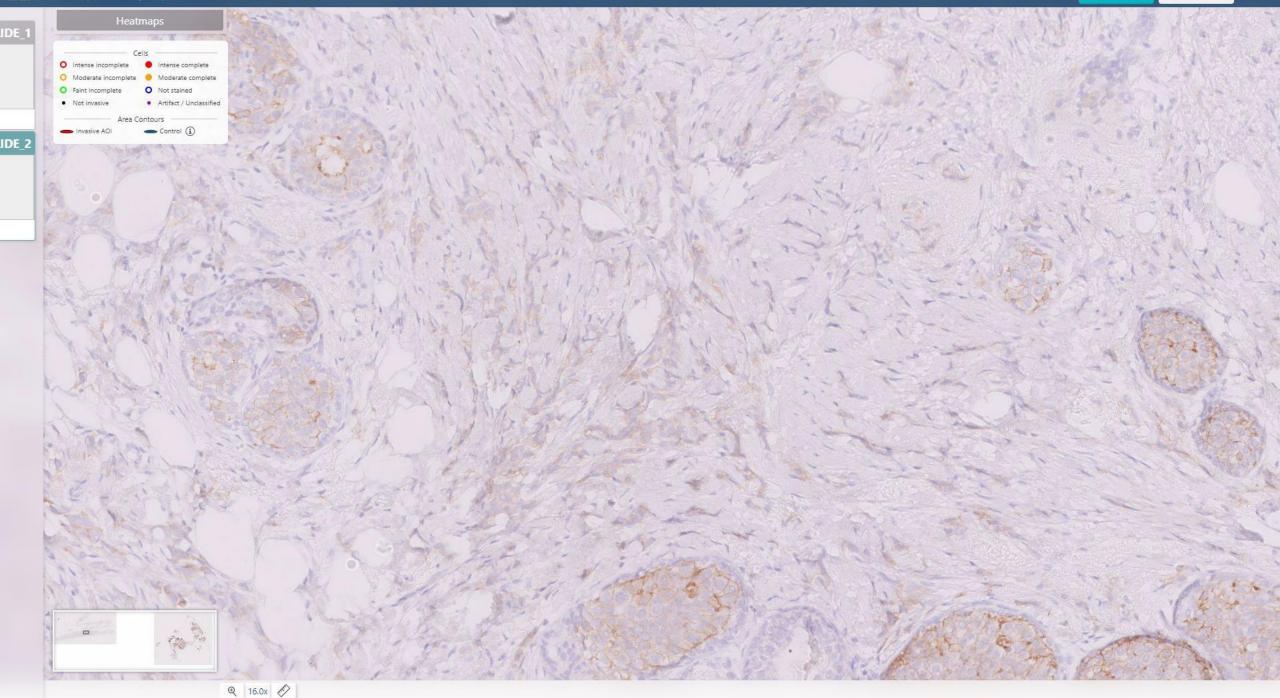


# Multi-Site European Study of a HER2 AI Solution as Clinical Decision-Support Tool in Breast Cancer

#### AI Solution for Evaluation of HER2 Immunostain: IBEX Breast HER2



Detection of intensity and quality (complete / incomplete) of the staining





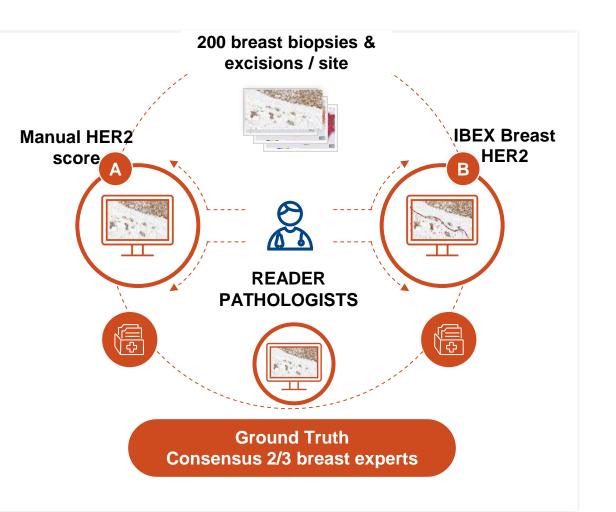
## **Study Cohort**

- 969 consecutive invasive carcinoma cases from 6 European labs
  - IDC 700 slides (72.2%)
  - ILC 120 slides (12.4%),
  - IDC+ILC 17 slides (1.8%)
  - Other invasive 132 slides (13.6%)
- Age: 63.4 years (± 14.8)
- 83% biopsies, 17% excisions
- Typical distribution of HER2 scores (15-20% HER2 positive, 80-85% HER2 negative)

Score	# slides	%
0	316	33%
1+	314	32%
2+	203	21%
3+	136	14%

## Multi-Reader Study Design

- Ground truth (GT) set by 18 breast expert pathologists
- Two parallel arms with a crossover design
- **12 reader pathologists from 6 different labs** interpreting HER2 IHCs :
  - without AI (Arm A)
  - And with AI (Arm B)



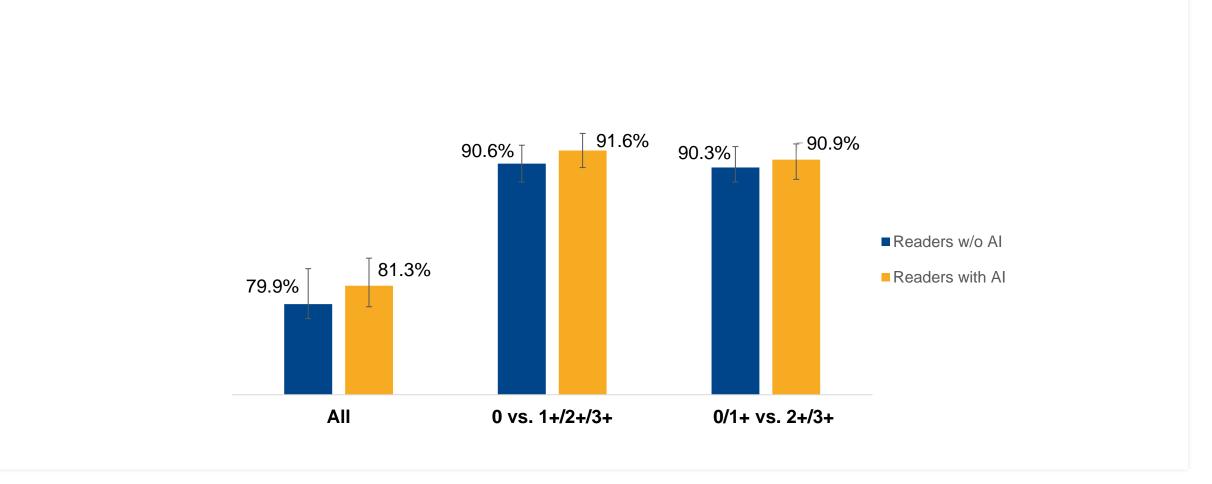
## Pathologists' Agreement per HER2 score

% agreements (Weighted Mean Agreement)

Score	N slides	Experts' Agreement	Readers' Agreements
0	267	85.6% (82.5%, 88.2%)	88.0% (83.6%, 88.2%)
1+	359	72.5% (69.3%, 75.4%)	65.7% (60.7%, 70.5%)
2+	169	66.3% (61.3%, 71%)	65.7% (58.2%, 72.4%)
3+	128	95.2% (92%, 97.2%)	89.8% (83.4%, 94%)

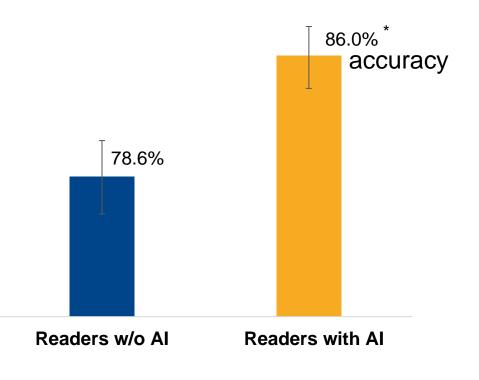
## Reader Pathologists Accuracy without / with AI for all HER2 cut-offs





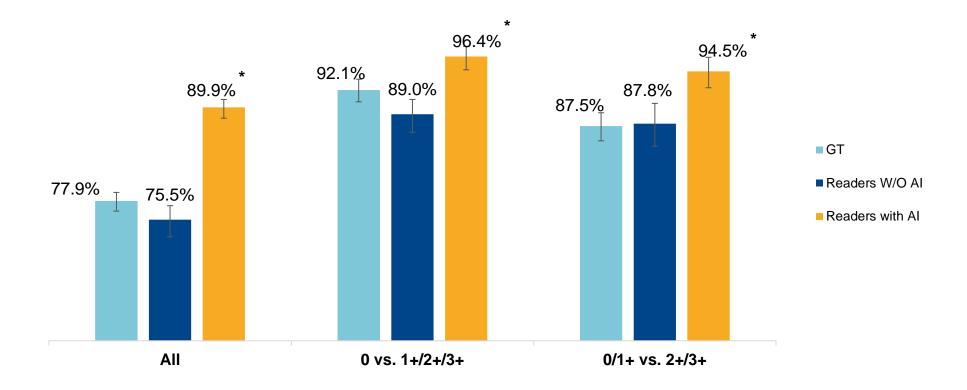
## Reader Pathologists Accuracy for HER2 0/1+ slides

Readers with AI showed 7.4% improvement in agreement with experts' GT for HER2 0/1+ slides



## Readers' Inter-Observer Agreement with and without AI

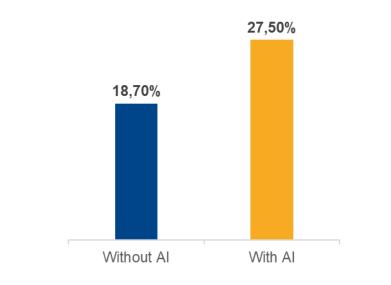
Readers with AI showed significantly higher inter-observer agreement



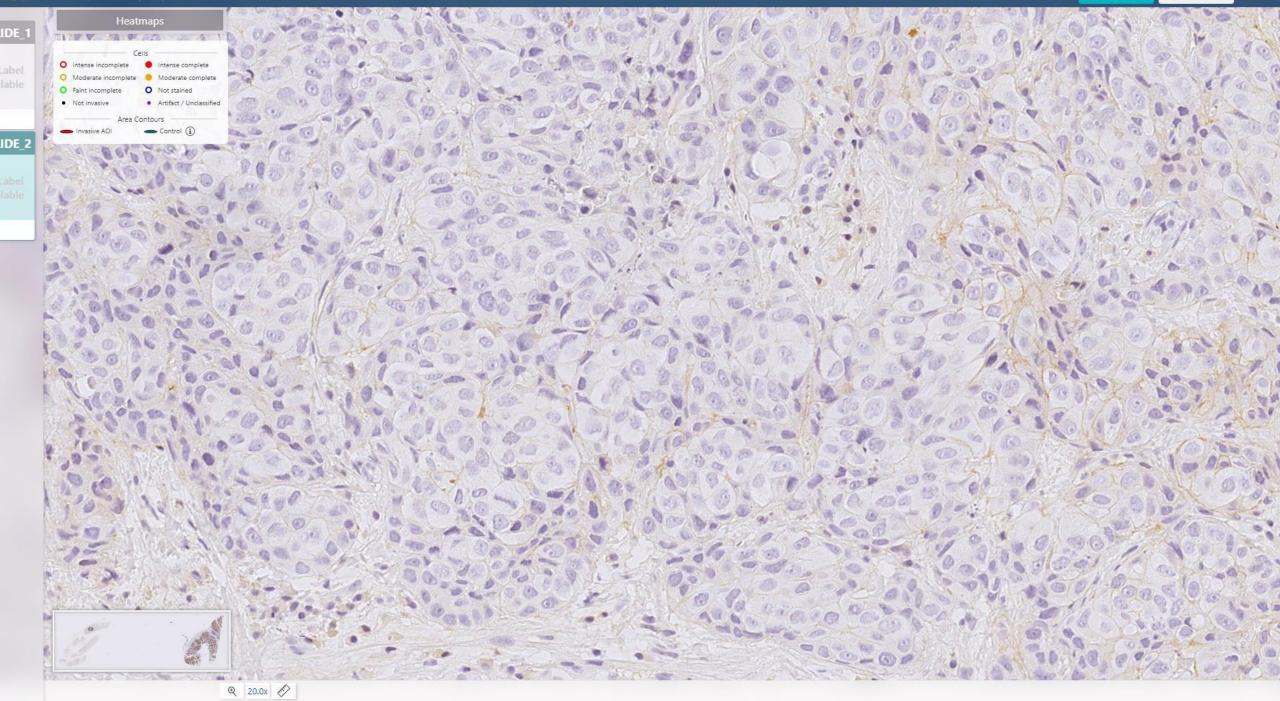
# Rate of HER2 1+ and 2+ scored by readers without and with AI

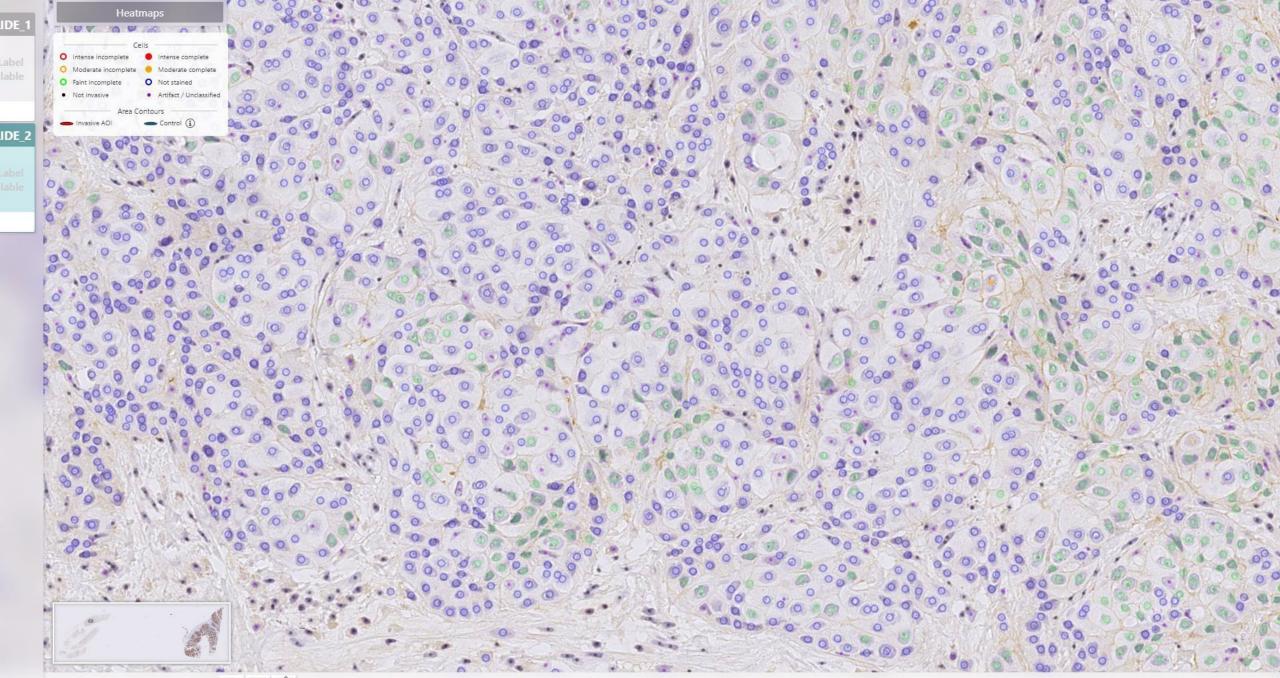
	1+ cases	2+ cases
Without AI	36.2%	17.2%
With AI	44.8%	10.1%
Change	+8.6%	-7.1%

Percentage of FISH positive cases from cases scored by readers as 2+

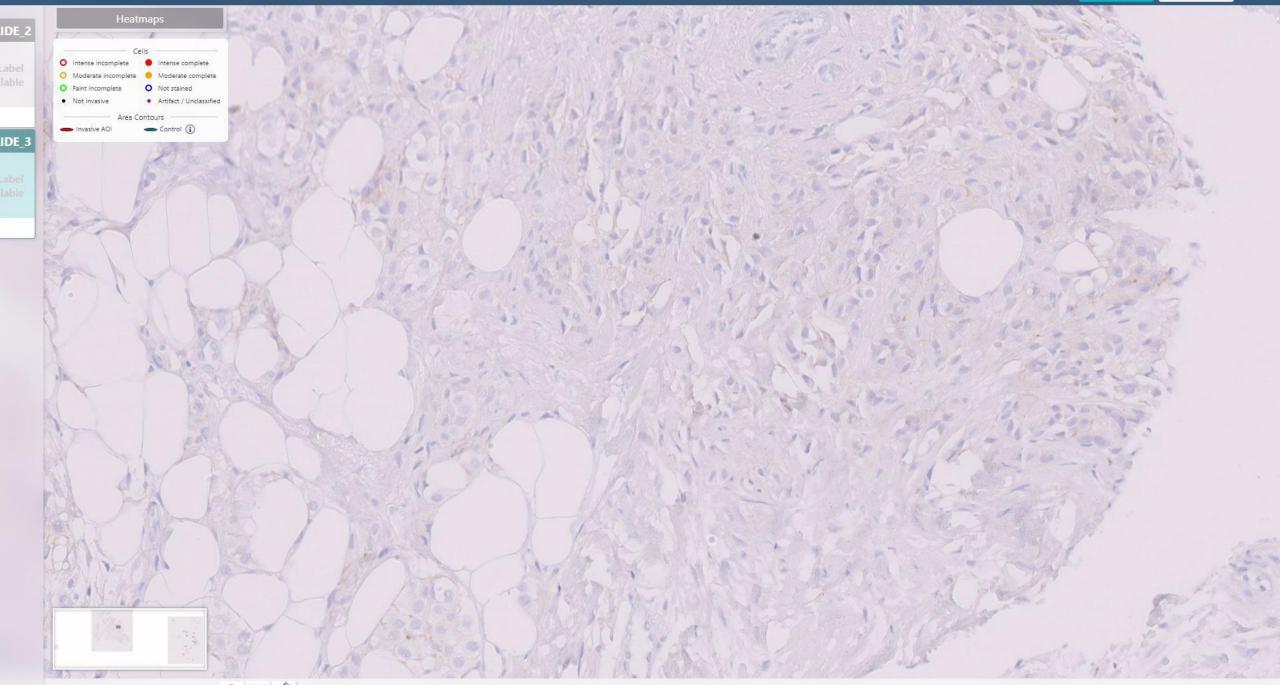


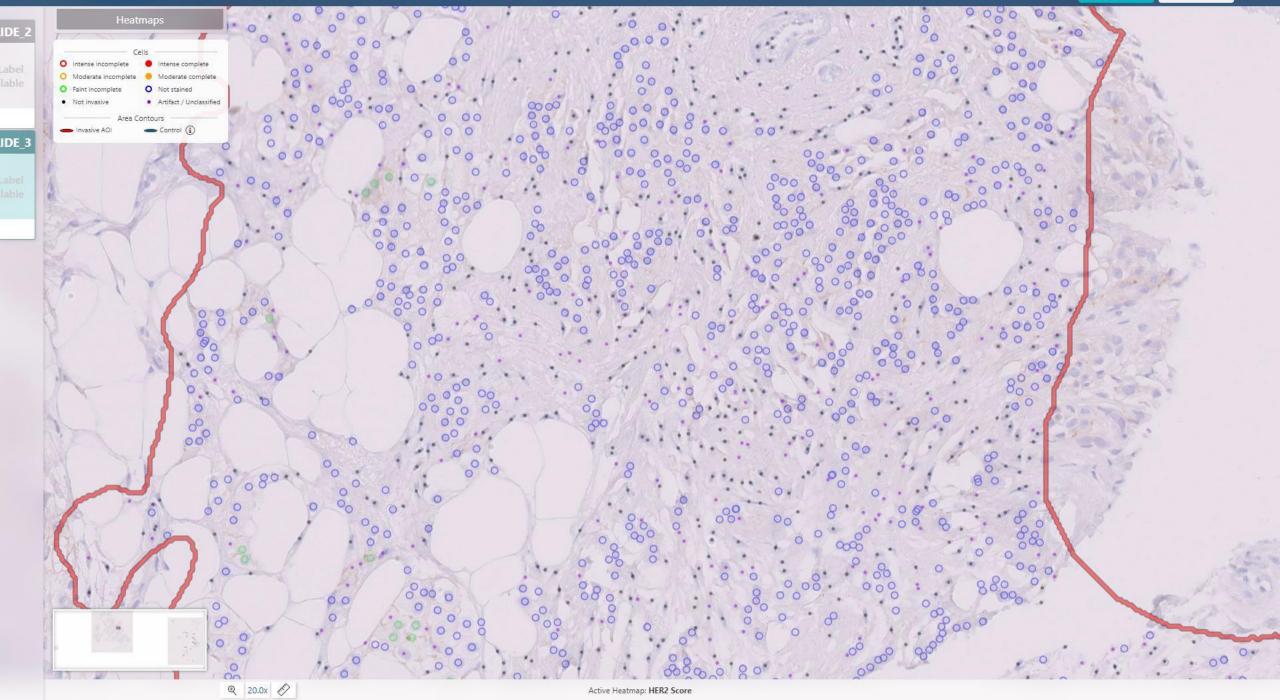
### A decrease of 41% in the required number of FISH tests





#### IBEX Cases (120 Incomplete) > her2-148 > her2-148\_SLIDE\_3





## Conclusions

• This study reports an independent multi-site validation of a fully automated AI solution for HER2 scoring in breast cancer

Pathologists supported by AI showed:

- Improved HER2 scoring consistency and accuracy, specifically for differentiating between 0 and 1+ cases
- A decrease in the required number of FISH tests that can potentially lead to reduced TAT
- Al solutions could be used as decision-support tools for pathologists in routine clinical practice

## **TAKE HOME MESSAGES**

- 1- HER2 status assessment is key for patients clinical management in early and advanced/metastatic breast cancers.
- 2- HER2 positive (score 3+ and 2+ amplified) cancers are not a single entity (ER status, TILs, PIK3CA and TP53 mutations, Level of HER2 amplification...)
- 3- It takes time to properly determine %, intensity and membranous staining (complete or incomplete) to correctly assess the HER2 low and ultra-low
- 4- AI will certainly help to increase standardization of HER2 low and ultra-low status

